

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Michael H. Perrott

Title:

METHOD AND APPARATUS FOR ACQUIRING A FREQUENCY

WITHOUT A REFERENCE CLOCK

Application No.: 09/888,663

Filed:

June 25, 2001

Examiner:

Glenn, Kimberly E.

Group Art Unit: 2817

Atty. Docket No.: 026-0012

September 9, 2003

**COMMISSIONER FOR PATENTS** P.O. Box 1450 Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings the patents, publications, applications or other information identified in the attached:

Form(s) PTO-1449

Other: n/a

to the Examiner's attention in the above-identified application. Citation of such information shall not be construed as:

- 1. an admission that the information necessarily is, or corresponds to, prior art with respect to the instant invention;
- a representation that a search has been made, other than as described below; or 2.
- an admission that the information cited herein is, or is considered to be, material 3. to patentability as defined in § 1.56(b).

For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance through (i) an English language abstract, (ii) an English language equivalent application, or (iii) if cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action that indicates the degree of relevance found by the foreign office.

## FEE AUTHORIZATION

|  | This Information Disclosure Statement is filed within three months of the filing    |
|--|---|
|  | date of a national application other than a continued prosecution application under |
|  | § 1.53(d) or within three months of entry of the national stage as set forth in     |
|  | § 1.491 in an international application. Therefore, no fee is required.             |

The undersigned believes that this Information Disclosure Statement is being filed before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.

If however, this Information Disclosure Statement is filed after the period specified in § 1.97(b), the undersigned hereby authorizes the Commissioner to charge the fee set forth in § 1.17(p) to Deposit Account No. 50-0631.

### **CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that, on the date shown below, this correspondence is being

- deposited with the US Postal Service with sufficient postage as first class mail, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- facsimile transmitted to the US Patent and Trademark Office.

McOle Teitler Cave 9/9/03

Nicole Teitler Cave 1/9/03

EXPRESS MAIL LABEL:

Respectfully submitted,

Nicole Teitler Cave, Reg. No. 54,021

Attorney for Applicant(s)

(512) 347-9030

(512) 347-9031 (fax)

|  |            |  |  |                 |                                   | Pag                 | ge I of I |  |  |
|--|------------|--|--|-----------------|-----------------------------------|---------------------|-----------|--|--|
| U.S. Departme  | nt of Com  | merce, Patent and Trademar                                 | Attorney Docket No.:                                     | 026-0012        |                                   |                     |           |  |  |
|  |            |  | Application No.:   | 09/888,663      |                                   |                     |           |  |  |
| OW   | ORMAT      | ION DISCLOSURE STAT  | Applicant(s):  | Michael H. Perr | ott                               |                     |           |  |  |
| (Use several sheets if necessary)  |            |  |  |                 | Filing Date:                      | June 25, 2001       |           |  |  |
|  |            |  |  |                 | Group Art Unit:                   | 2817                |           |  |  |
| The morning of   |            |  |  |                 | Date Submitted:                   | September 9, 20     | 03        |  |  |
| Group Art Unit: 2817  Date Submitted: September 9, 2003  U.S. Patent Documents |            |  |  |                 |                                   |                     |           |  |  |
| *Examiner<br>Initial   |            | Document<br>Number   | Date   |                 | Name                              |                     |           |  |  |
|  | AA         | 6,178,213  | Jan. 23, 2001  | McCorma         | ck et al.                         |                     |           |  |  |
|  | AB         |  |  |                 |                                   |                     |           |  |  |
|  | AC         |  |  | -               |                                   |                     |           |  |  |
|  | AD         |  |  |                 |                                   |                     |           |  |  |
|  | AE         |  |  |                 |                                   |                     |           |  |  |
|  | AF         |  |  |                 |                                   |                     |           |  |  |
|  | AG         |  |  |                 |                                   |                     |           |  |  |
|  | AH         |  |  |                 |                                   |                     |           |  |  |
|  | AI         |  |  |                 |                                   |                     |           |  |  |
|  | AJ         |  |  |                 |                                   | <u></u> .           |           |  |  |
|  | AK         |  |  | <u> </u>        |                                   |                     |           |  |  |
|  |            |  | Foreign Patent Doc                                       | uments          |                                   | Trans               | lation    |  |  |
|  | 1          | Downson  | Date   | 1               | Country                           | Yes                 | No        |  |  |
|  | 1          | Document   | Date   |                 | Country                           | 103                 |           |  |  |
|  | AL<br>AM   |  |  |                 |                                   |                     |           |  |  |
|  | AN         |  |  |                 |                                   |                     |           |  |  |
|  | AP         |  |  |                 |                                   |                     |           |  |  |
|  |            | OTHER AR   | Γ (Including Author, Title,                              | Date, Pertin    | ent Pages, Etc.)                  |                     | <u></u>   |  |  |
|  | AR         |  |  |                 |                                   |                     |           |  |  |
|  | AS         |  |  |                 |                                   |                     |           |  |  |
|  | AT         |  |  |                 |                                   |                     |           |  |  |
| Examiner   |            | D  | Date Considered  |                 |                                   |                     |           |  |  |
| *EXAMINE   | R: Initial | if reference considered, whe<br>onsidered. Include copy of | ther or not citation is in conthis form with your commun | formance with   | h MPEP 609; Draw line to blicant. | through citation if | not in    |  |  |